PTO/SB/08A (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1636

Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449AFCOS TRADE Complete if Known INFORMATION DISCLOSURE 10/002,750 **Application Number** November 15, 2001 STATEMENT BY APPLICANT Filing Date Vogels et al. First Named Inventor

Group Art Unit

(use as many sheets as necessary)

M. Burkhart **Examiner Name** of 14 2578-5148US Sheet Attorney Docket Number

		Document Number	Publication Date	Name of Patentee or Applicant of	Page Cations Lines Where Defended
Examiner Initials *	Cite No. 1	Number - Kind Code ³ (if known)	MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
W3		4,487,829	12/11/84	Sharp et al.	
		4,517,686	05/21/85	Ruoslahti et al.	
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T		5,223,409	06/29/93	Ladner et al.	
1		5,240,846	08/31/93	Collins et al.	
		5,246,921	09/21/93	Reddy et al.	
		5,332,567	07/26/94	Goldenberg	
1		5,349,053	09/20/94	Landolfi	
Mg	1	5,403,484	04/04/95	Ladner et al.	

		Foreign Patent Document			Pages, Columns, Lines,	
	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T¢
MS		EP 259212	08/11/87	Transgene S.A.		
1		WO 91/00360	01/10/91	Medarex, Inc.		
		WO 91/05871	05/02/91	Medarex, Inc.		
		WO 91/05805	05/02/91	Trustees of Dartmouth College		
		WO 92/02553	02/20/92	Delta Bi-Otechnology Limited		
MB		WO 92/13081	08/06/92	British Technology Group PLC		

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE Application Number 10/002,750 November 15, 2001 Filing Date STATEMENT BY APPLICANT Vogels et al. First Named Inventor 1636 Group Art Unit (use as many sheets as necessary) **Examiner Name** M. Burkhart of 14

Attorney Docket Number

Sheet

			U.S. PATENT D	OCUMENTS	
Examiner Initials •	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	No.	Number - Kind Code ² (if known)			Figures Appear
WB.		5,436,146	07/25/95	Shenk et al.	
		5,443,953	08/22/95	Hansen et al.	
		5,474,935	12/12/95	Chatterjee et al.	
	I	5,521,291	05/28/96	Curiel et al.	
		5,534,423	07/09/96	Plasson et al	
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		Foreign Patent Document						
Examiner Cite Initials No. 1	Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Τ¢			
/\y3		WO 93/03769	03/04/93	U.S. Dept. of Health and Human Services		:		
		WO 93/06223	04/01/93	Centre National De La Recherche Scientifique				
		WO 93/07282	04/15/93	Boehringer Ingelheim International GMBA				
		WO 93/07283	04/15/93	Boehringer Ingelheim International GMBA				
		WO 94/08026	04-14-1994	Rhone-Poulenc Rorer S.A.				
NAB		WO 94/10323	05/11/94	Imperial Cancer Research Technology Limited				

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		Document Number	D. Alientian Dec	Name of Patentee or Applicant of	
	Cite No. ¹	Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NB		5,871,982	02/16/99	Wilson et al.	
1		5,877,011	03/02/99	Armentano et al,	
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Examiner Cite No.1	1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant	
	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY	Document	Passages or Relevant Figures Appear	T ⁶	
MZ		WO 94/11506	5-26-1994	Arch Development Corp.		
		EP 0 950 713	10-20-1999	Centeon Pharma GmbH		
		WO 98/17783	04-30-1998	Regents of the Univ. of Michigan		
		WO 02/40665	05-23-2002	Crucell Holland B.V.		
		WO 94/15644	07/21/94	Imperial Cancer Research Technology Limited		
		WO 94/17832	08/18/94	The Scripps Research Institute		
		WO 94/24299	10/27/94	Boehringer Ingelheim International GMBA		
	1	WO 94/26915	11/24/94	The Regents of the University of Michigan		
M3	1	WO 95/05201	02/23/95	Genetic Therapy, Inc.		

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

Filing Date

First Named Inventor

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Complete if Known

Application Number

Filing Date

November 15, 2001

Vogels et al.

Group Art Unit

1636

Examiner Name

M. Burkhart

Attorney Docket Number

14

Sheet

		Foreign Patent Document			Pages, Columns, Lines,	
Examiner Cite No.1	Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Τ ⁶	
MB		WO 95/06745	03/09/95	Max-Planck-Gesellschaft Zur Förderung Der Wissenschaften E.U.		
		WO 95/14785	06/01/95	Rhone-Poulenc Rorer S.A.		
		WO 95/16037	06/15/95	Menarini Ricerche Sud S.p.A.		
		WO 95/21259	08/10/95	U.S. Dept. of Health and Human Services	<u> </u>	
<u> </u>	<u> </u>	WO 95/26412	10/05/95	The UAB Research Foundation		
	<u> </u>	WO 95/27071	10-12-1995	Board of Regents, Univ. of Texas System	· · · · · · · · · · · · · · · · · · ·	
	1	WO 95/31187	11/23/95	McMaster University		
	<u> </u>	WO 95/31566	11/23/95	Viagene, Incorporated		
		WO 96/00326	01/04/96	Reinert, Gary, L., Sr.		
_		WO 96/00790	01/11/96	Rhone-Poulenc Rorer S.A.		
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	-	WO 96/10087	04/04/96	Rhone-Poulenc Rorer S.A.		
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		WO 96/13597	05/09/96	The Trustees of the University of Pennsylvania		
		WO 96/14837	05/23/96	Genetic Therapy, Inc.		
		WO 96/17073	06/06/96	Takara Shuzo Co., LTD.		
		WO 96/ 18740	06/20/96	Rhone-Poulenc Rorer S.A.		
	1	WO 96/24453	08/15/96	Withers, Graham, Rex		
		WO 96/26281	08/29/96	Genvec, Inc. Cornell Research Foundation, Inc.		
7	1	WO 96/35798	11/14/96	Introgene B.V.		
		WO 97/00326	01/03/97	Introgene B.V.		
	1	WO 97/12986	04/10/97	Cornell Research Foundation, Inc.		
M3		WO 97/20575	06/12/97	The University of Alabama at Birmingham Research Foundation		

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Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/002,750 **Application Number** November 15, 2001 Filing Date STATEMENT BY APPLICANT Vogels et al. First Named Inventor 1636 Group Art Unit (use as many sheets as necessary) D. Nguyen **Examiner Name** of | 14 2578-5148US Sheet. Attorney Docket Number

		FOR	EIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ³ (if lawwn)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
MS		WO 97/38723	10/23/97	Immusol Incorporated		
1		WO 98/07865	02/26/98	Genvec, Inc.		
	T	WO 98/11221	03/19/98	Dana-Farber Cancer Institute		
		WO 98/13499	04/02/98	The Scripps Research Institute		
		WO 98/22609	05/28/98	Genzyme Corporation		
		WO 98/ 32842	07/30/98	Genetic Therapy, Inc.		
		WO 98/40509	09/17/98	Genvec, Inc.		
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		WO 98/50053 A1	11/12/98	Genetic Therapy, Inc.		
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	1	WO 99/32647	07/01/99	Introgene B.V.		
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		WO 99/47180A1	09/23/99	Genzyme Corporation		
		WO 99/55132	11/04/99	Introgene B.V.		
		WO 99/58646	11/18/99	Genera S.P.A.	1	
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		WO 00/24730 A2	05/04/00	The University of British Columbia		
		WO 00/31285	06/02/00	Introgene B.V.		
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		WO 00/7007.1 A1	11/23/00	Introgene B.V.		
		WO 01/04334	01/18/01	Introgene B.V.		
		WO 01/90158 A1	11/29/01	Crucell Holland B.V.		
		WO 02/24730	03/28/02	Crucell Holland B.V.		
M3]	WO 02/27006	04/04/02	Crucell Holland B.V.		

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Substitute f	or form 1449A/PTC)		Complete if Known		
TNIE		AT INT		Application Number	10/002,750	
	_		SCLOSURE	Filing Date	November 15, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Vogels et al.	
				Group Art Unit	1636	
	(use as many s	heets as	necessary)	Examiner Name	M. Burkhart	
Sheet	.6	of	14	Attorney Docket Number	2578-5148US	

	T	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MS		ABRAHAMSEN et al., "Construction of an Adenovirus Type 7a E1A' Vector," JOURNAL OF VIROLOGY, NOV. 1997, P. 8946-8951 Vol. 71, No. 11.	
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Examiner Signature	mas	Date Considered	6.25-05
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Substitute f	for form 1449A/PTC)		Complete if Known		
INITIO		AI DY	COL COLIDE	Application Number	10/002,750	
			SCLOSURE	Filing Date	November 15, 2001	
STAT	EMENT :	BY A	PPLICANT	First Named Inventor	Vogels et al.	
				Group Art Unit	1636	
	(use as many s	heets as	necessary)	Examiner Name	M. Burkhart	
Sheet	7	of	14	Attorney Docket Number	2578-5148US	

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		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Initials • No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published.			
MS		BRODY et al., "Adenovirus-Mediated in Vivo Gene Transfer," Annals New York Academy of Sciences pp.90-100.			
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Examiner Signature	mas	Date Considered	6.25-05
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		NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials * No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
MB		CURIEL et al., "High-Efficiency Gene Transfer Mediated by Adenovirus Coupled to DNA-Polylysine Complexes," Human Gene Therapy, 3, 147-154 (1992).					
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			SCLOSURE	Filing Date	November 15, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Vogels et al.	
				Group Art Unit	1636	
	(use as many sh	eets as	necessary)	Examiner Name	M. Burkhart	
Sheet	9	of	14	Attorney Docket Number	2578-5148US	

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Examiner Cite Initials * No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т [;]
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				Group Art Unit	1636
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Sheet	10	of	14	Attorney Docket Number	2578-5148US

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		NON PATENT LITERATURE DOCUMENTS	
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NB		KRASNYKH et al.: "Generation Of Recombinant Adenovirus Vectors With Modified Fibers For Altering Viral Tropism" Journal Of Virology, The American Society For Microbiology, US, vol. 70, no. 10, 1 October 1996 (1996-10-01), pages 6839-6846, XP002067518 ISSN: 0022-538X.	
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		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	
Examiner	Cite No. ¹	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MB		MICHAEL et al., "Binding-incompetent Adenovirus Facilitates Molecular Conjugate-mediated Gene Transfer by the Receptor-mediated Endocytosis Pathway," Journal of Biological Chemistry, 268(10), 6866-6869 (1993).	
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				Group Art Unit	1636	
	(use as many si	heets as	necessary)	Examiner Name	M. Burkhart	
Sheet	Sheet 13 of 14		Attorney Docket Number	2578-5148US		

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MB		ROELVINK et al., "The Coxsackievirus-Adenovirus Receptor Protein Can Function as a Cellular Attachment Protein for Adenovirus Serotypes from Subgroups A, C, D, E, and F, Journal Of Virology, Oct. 1998, P. 7909-7915, Vol. 72, No. 10.	
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				Group Art Unit	1636
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Sheet	14	of	14	Attorney Docket Number	2578-5148US

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MB		STRATFORD-PERRICAUDET LD et al.: "Widespread Long-Term Gene Transfer To Mouse Skeletal Muscles And Heart"  Journal Of Clinical Investigation, New York, NY, US, vol. 90 no. 2, August 1992 (1992-08), ISSN: 0021-9738.	
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